

**7th International Conference on  
Hydroscience and Engineering  
ICHE 2006**

# **Application of a Nearest Neighbour Method to a Conceptual Rainfall-Runoff Model**

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# Outline of the Talk

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1. Motivation & Objective
2. Study area
3. Methodology
4. Discussions & Outlook

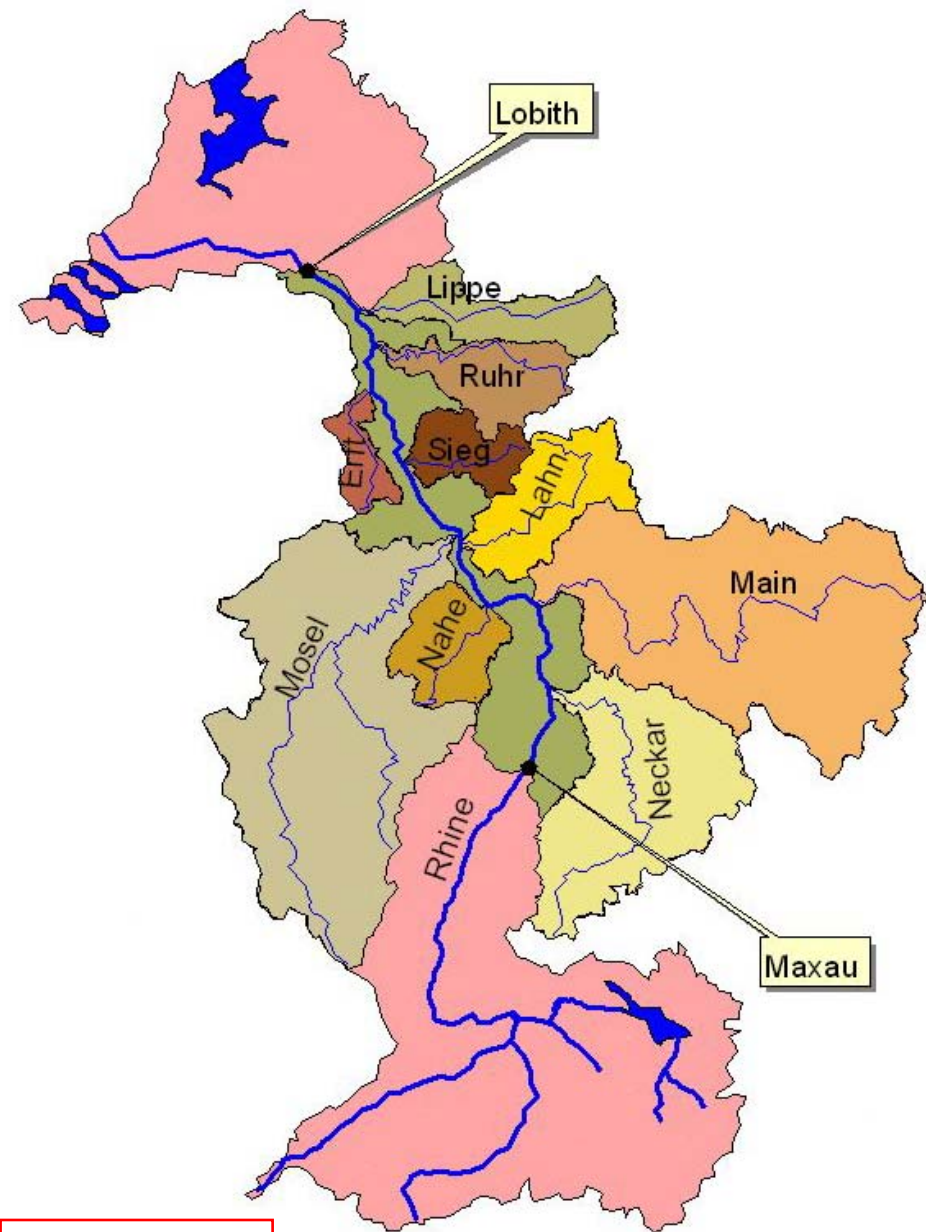
**Parsimony** is a principle:  
The simplest explanation that explains the greatest number of observations is preferred to more complex explanations.

# Study Domain

Entire German  
Section of the  
Rhine Basin

109,330 km<sup>2</sup>

12 sub-basins;  
101 catchments



**Credit:**  
**Hundecha,**  
**2005**

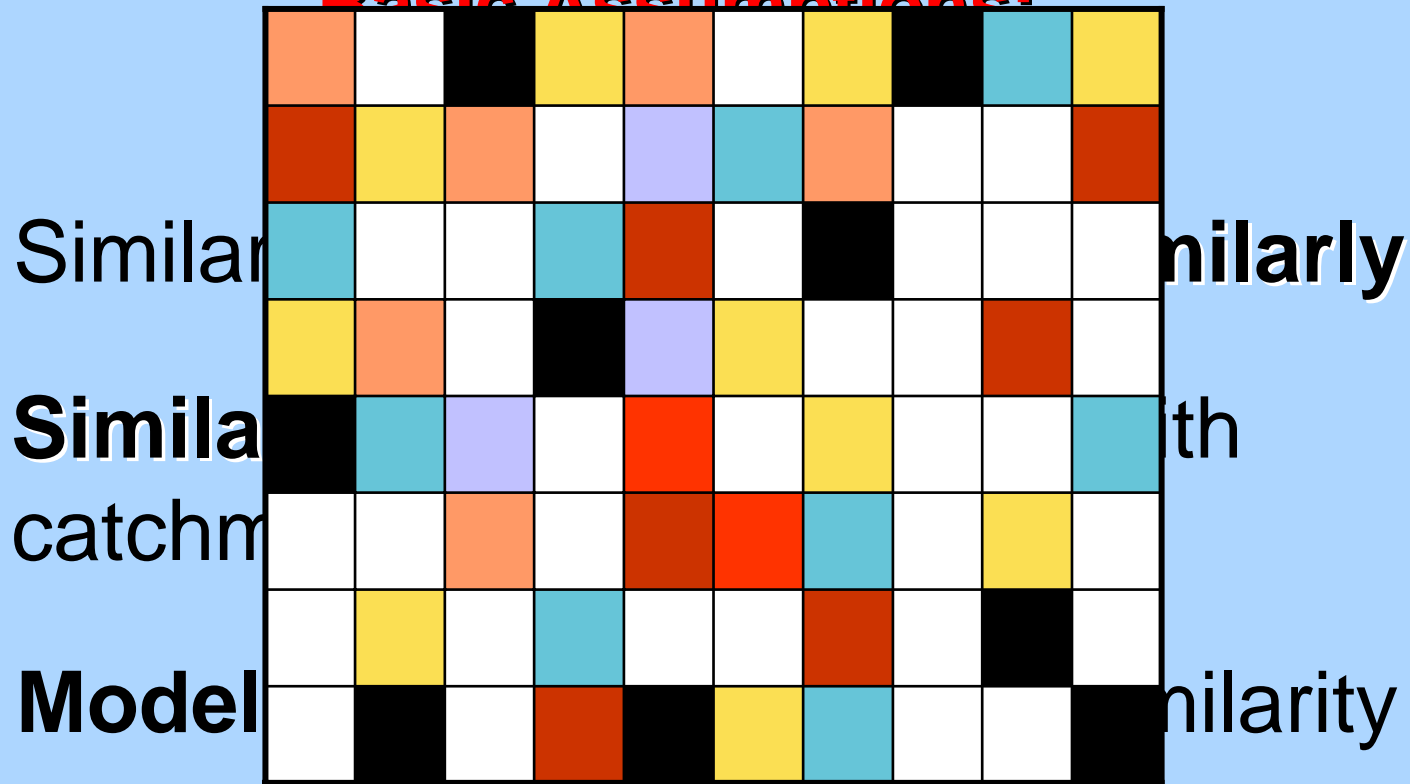
100 0 100 Kilometers



# Nearest Neighbor Method

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## Basic Assumptions:



as in this example...

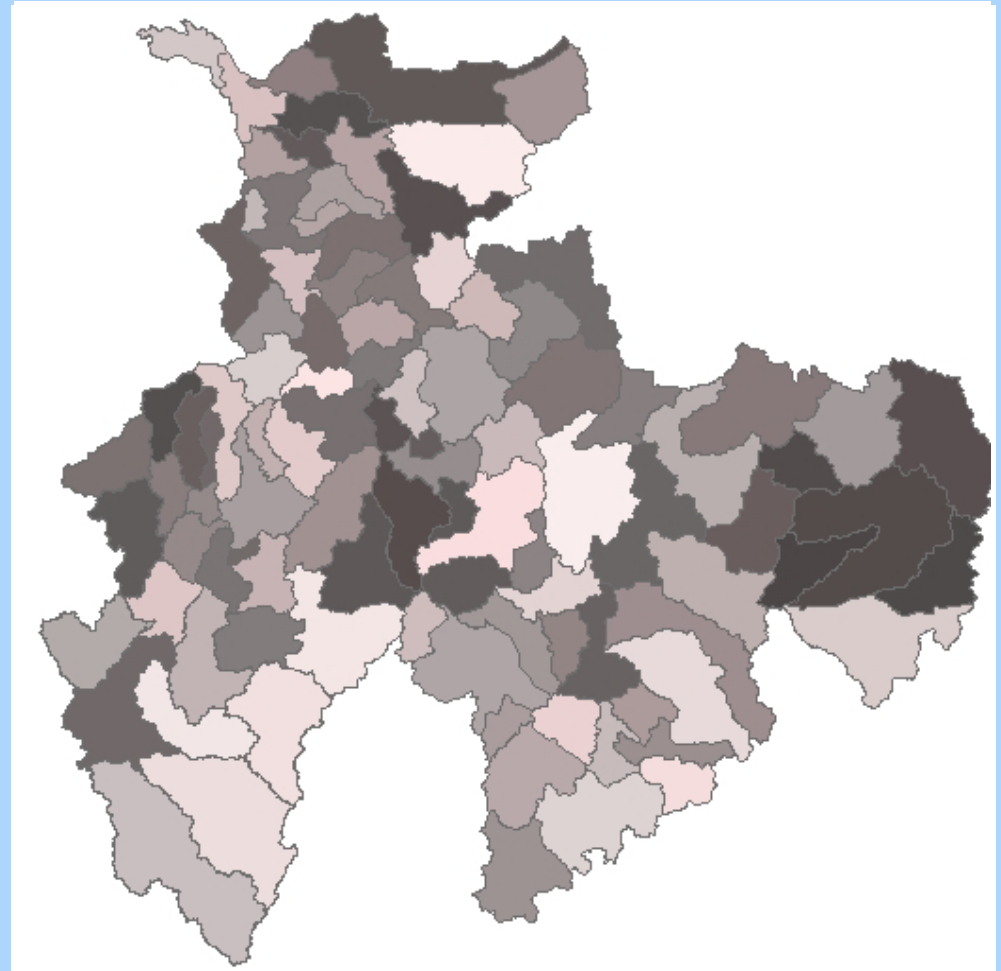
# Application in Hydrology

6

Using  
**Hydrological Units**  
*i.e.*

- **CATCHMENTS** -

& Considering them  
as Neighbors



# Application in Hydrology *cont.*

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$$U = B \cdot [X]$$

if  $U_1 \approx U_2$  and  $[V_1] \approx [V_2]$   
then  $f(X_1 / V_1) \approx f(X_2 / V_2)$

[V]: HBV-IWS model parameters

$$Q_{sim} = f(X_{k=1,K} / V_{i,i=1,I})$$

Propose: **non-parametric approach**, use a transformation matrix **B**

[X]: independent and readily available catchment attributes, e.g. area, shape, slope, soil, etc.

$$\bar{Q}_1 \Leftrightarrow \bar{Q}_2,$$
$$std(Q_1) \Leftrightarrow std(Q_2)$$

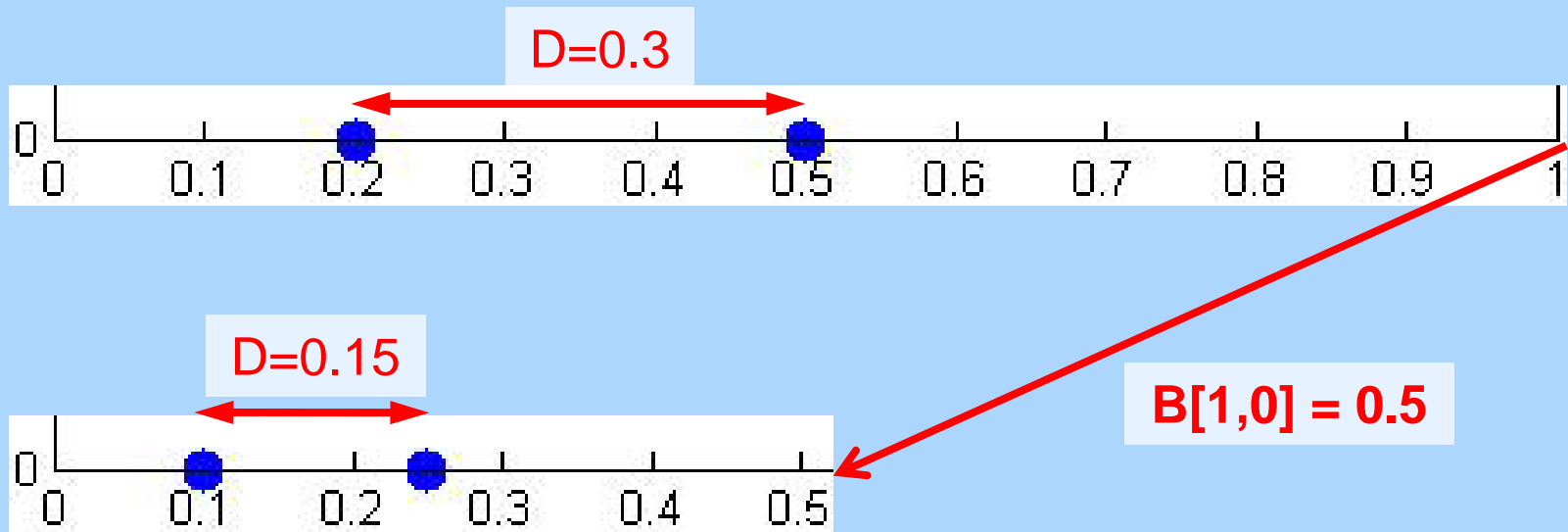
- Close distance/Similarity of  $Y = f(X, V)$
- Close distance/Similarity of  $U = B(X)$
- A non-parametric matrix  $B$  is to mimic the  $f$  and in the mean time maintain the distance between a pair of catchments' responses.
- Be careful! Not to do modeling directly with  $B$ , rather to reproduce a similarity by introducing  $B$ .

**Bridging the gap**



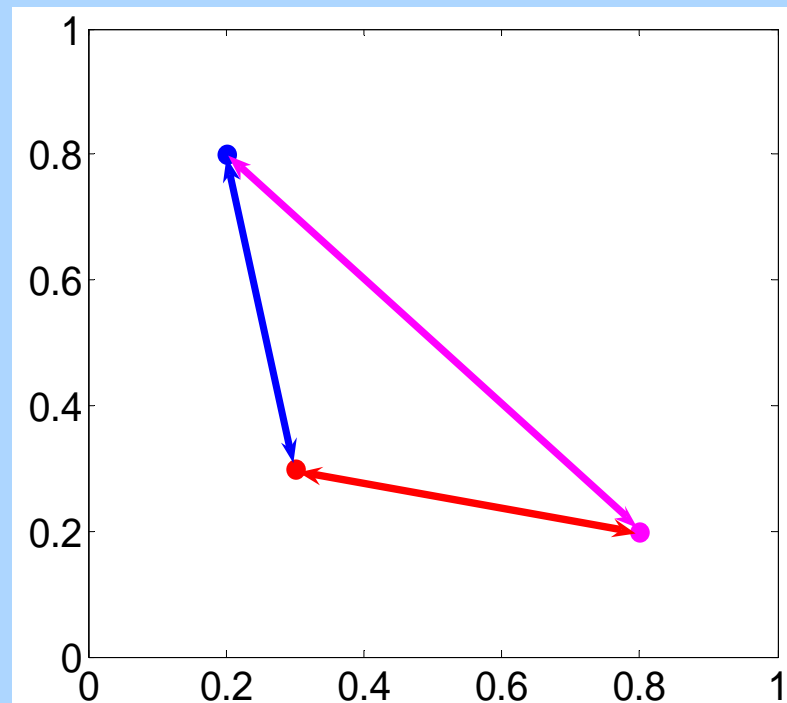
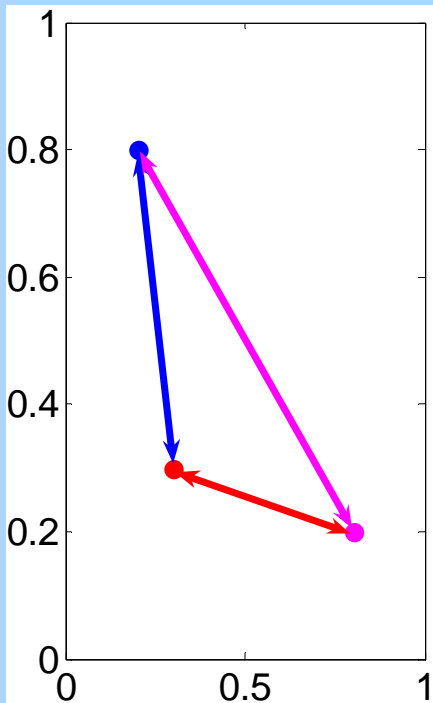
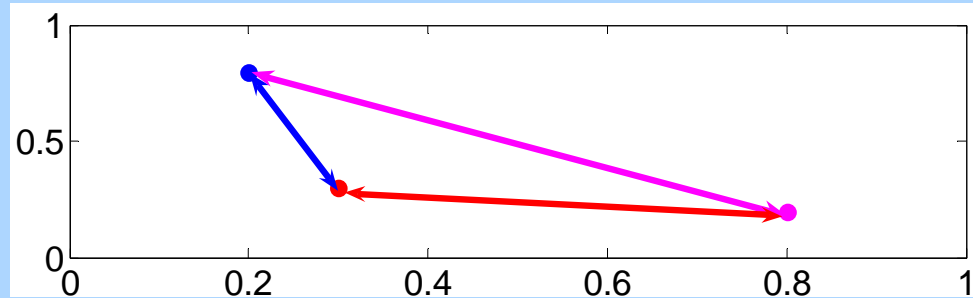
# Transformation Matrix $B$

9



# Transformation Matrix B

10

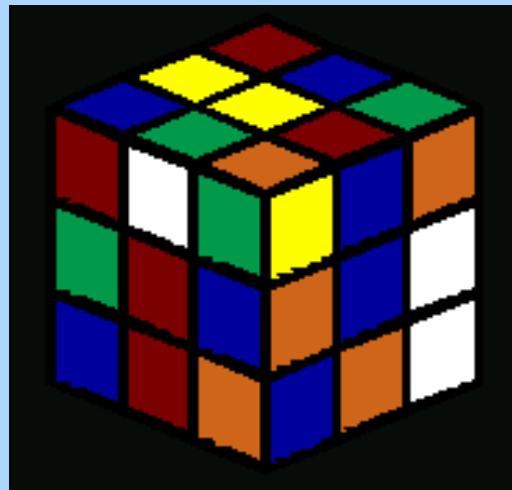


# Transformation Matrix $B$ *cont.* 11

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ONE MORE EXAMPLE

Rubik's Cube



# Application in Hydrology *cont.* 12

BA's Third Law:

**Original Space**  
Models are able to capture similarity

$$Y = f(X_{k=1,K} / V_{i,i=1,I})$$

if  $[X_1] \approx [X_2]$  &  $[V_1] \approx [V_2]$   
then  $Y_1 \approx Y_2$

**Transformed Space**  
distances

$$U = B \cdot [X]$$

if  $[X_1] \approx [X_2]$   
then  $U_1 \approx U_2$

# Transformation Matrix $B$ *cont.* 13

$X$  [ **9** para, **22** catch. ]

**1. Drainage Area**

$X(1,1)$   $X(1,2)$  ...  $X(1,22)$

**2. Annual Mean**

$X(2,1)$   $X(2,2)$  ...  $X(2,22)$

$X(3,1)$   $X(3,2)$  ...  $X(3,22)$

$X(4,1)$   $X(4,2)$  ...  $X(4,22)$

$X(5,1)$   $X(5,2)$  ...  $X(5,22)$

$X(6,1)$   $X(6,2)$  ...  $X(6,22)$

$X(7,1)$   $X(7,2)$  ...  $X(7,22)$

$X(8,1)$   $X(8,2)$  ...  $X(8,22)$

$X(9,1)$   $X(9,2)$  ...  $X(9,22)$

ap.(1982-88);

$B(1,1)$   $B(1,2)$  ...  $B(1,9)$

$B(2,1)$   $B(2,2)$  ...  $B(2,9)$

$B(3,1)$   $B(3,2)$  ...  $B(3,9)$

$B(4,1)$   $B(4,2)$  ...  $B(4,9)$

$X$   
gt

=

$U(1,1)$   $U(1,2)$  ...  $U(1,22)$

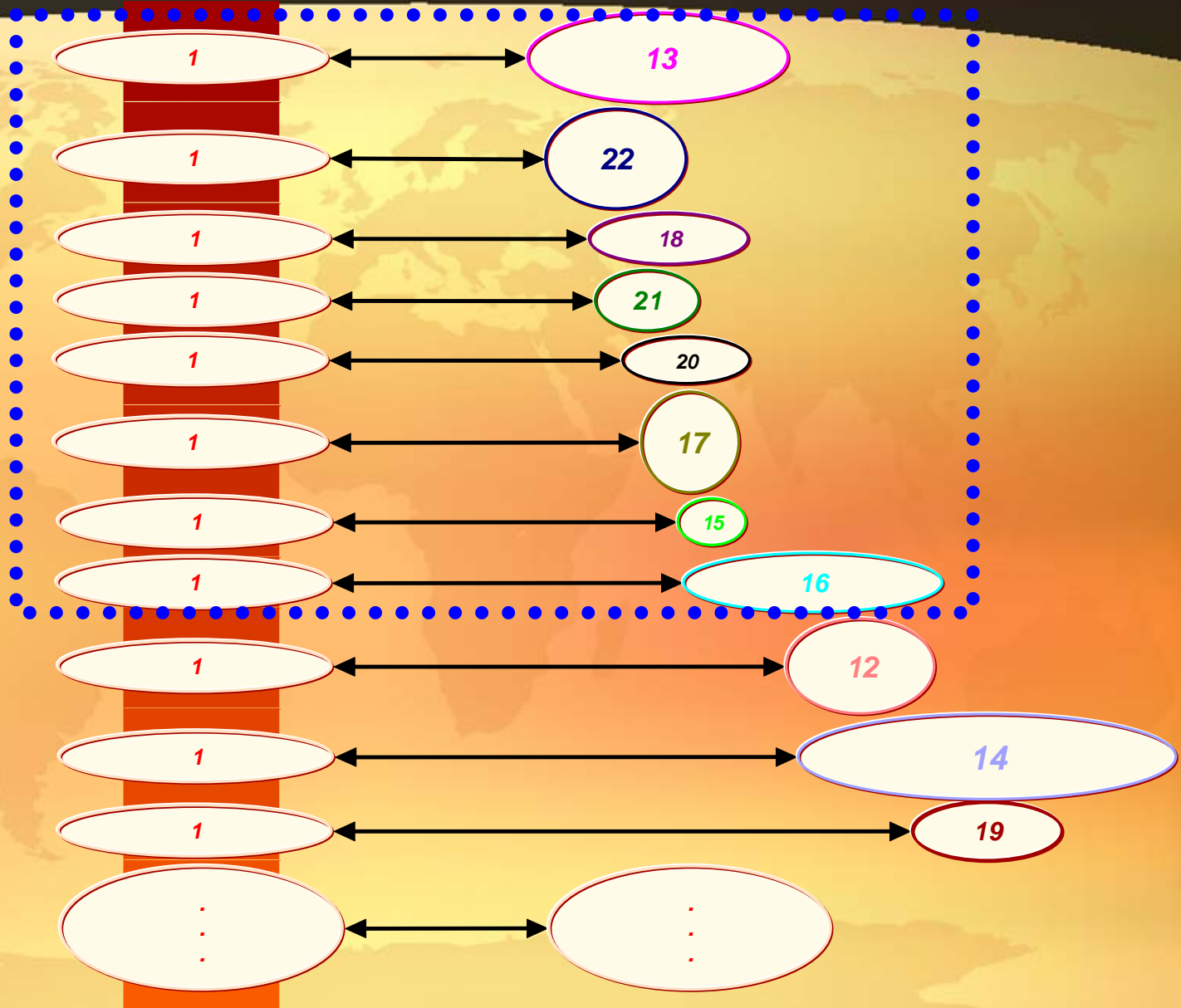
$U(2,1)$   $U(2,2)$  ...  $U(2,22)$

**7. Urban area;**

**8. Agricultural land;**

**9. Water Bodies**

# Sorted Distance (ascending)



# Application in Hydrology *cont.* 15

**1<sup>st</sup> attempt:**

$$D = \sum (NS_i - NS_j) \rightarrow \min$$

**FC, DD,  $\beta$ ,  $\alpha$ ,  $K_1$ ,  $K_2$ ,  $K_{PERC}$ , MAXBAS**

**2<sup>nd</sup> attempt:**

$$D = \sum (\text{sim} ET_i - \text{sim} ET_j) \rightarrow \min$$

**FC, DD,  $\beta$ , TT,  $C_E$ , PWP**

**3<sup>rd</sup> attempt:**

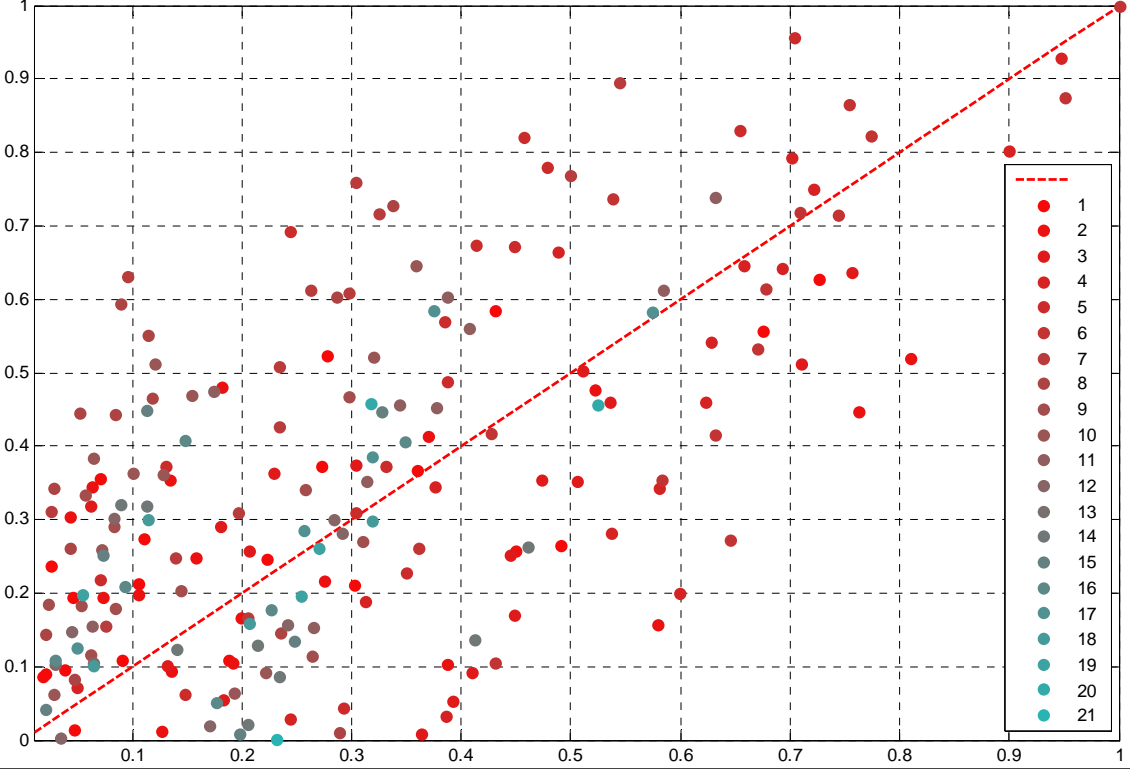
$$D = \sum (\text{sim} Q_i - \text{sim} Q_j) \rightarrow \min$$

**FC, DD,  $\beta$ , TT,  $C_E$ , PWP**

**$\alpha$ ,  $K_1$ ,  $K_2$ ,  $K_{PERC}$ , MAXBAS**

**4<sup>th</sup> attempt:**

$$D = \sum (\text{obs} Q_i - \text{obs} Q_j) \rightarrow \min$$



**BEFORE Optimizing B**

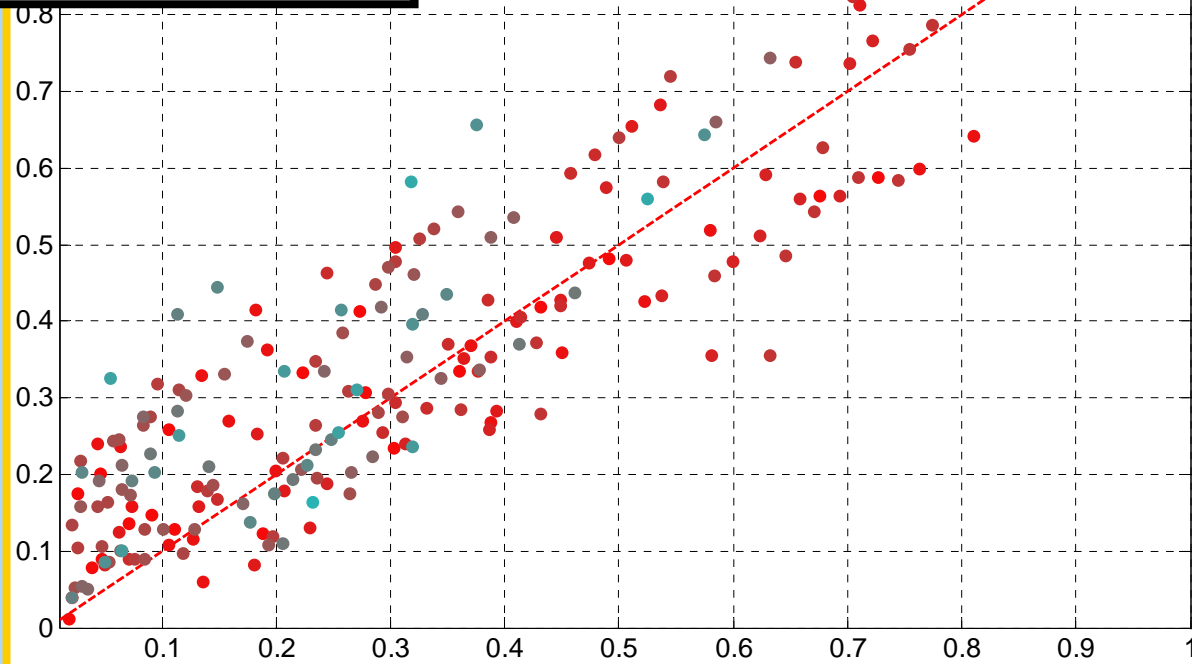
**3<sup>rd</sup> attempt:**

**FC, DD,  $\beta$ , TT,  $C_E$ , PWP**

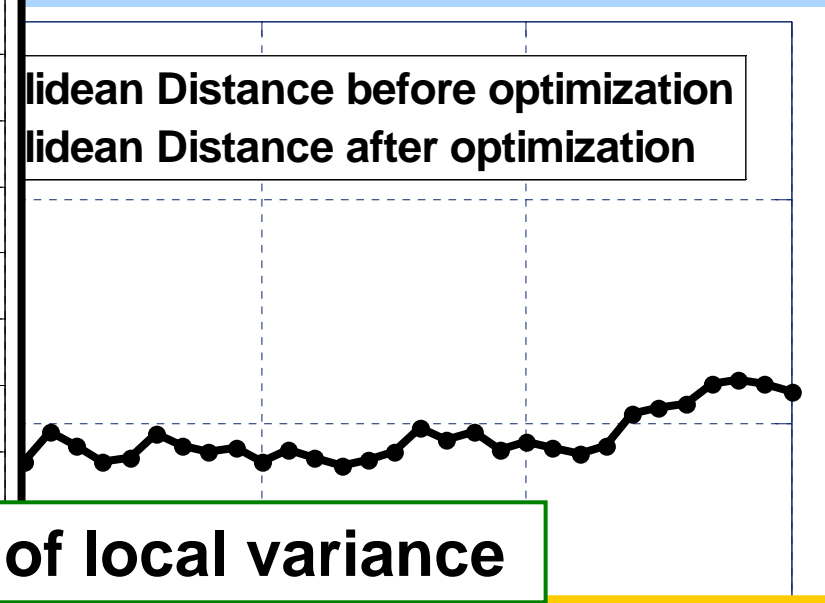
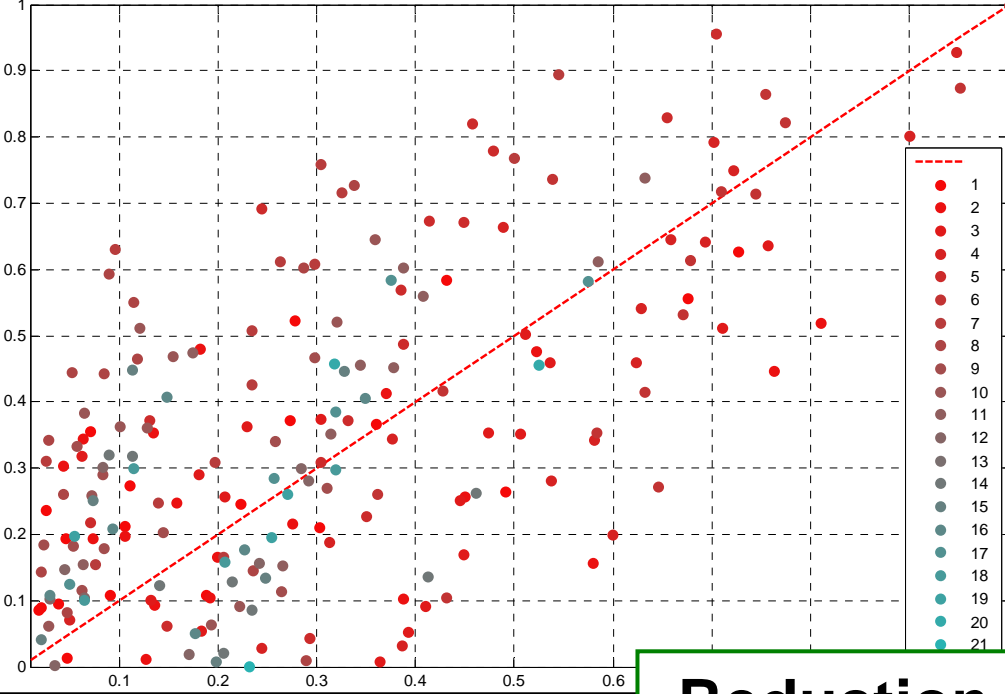
**$\alpha$ ,  $K_1$ ,  $K_2$ ,  $K_{PERC}$ , MAXBAS**

$$D = \sum (sim Q_i - sim Q_j) \rightarrow \min$$

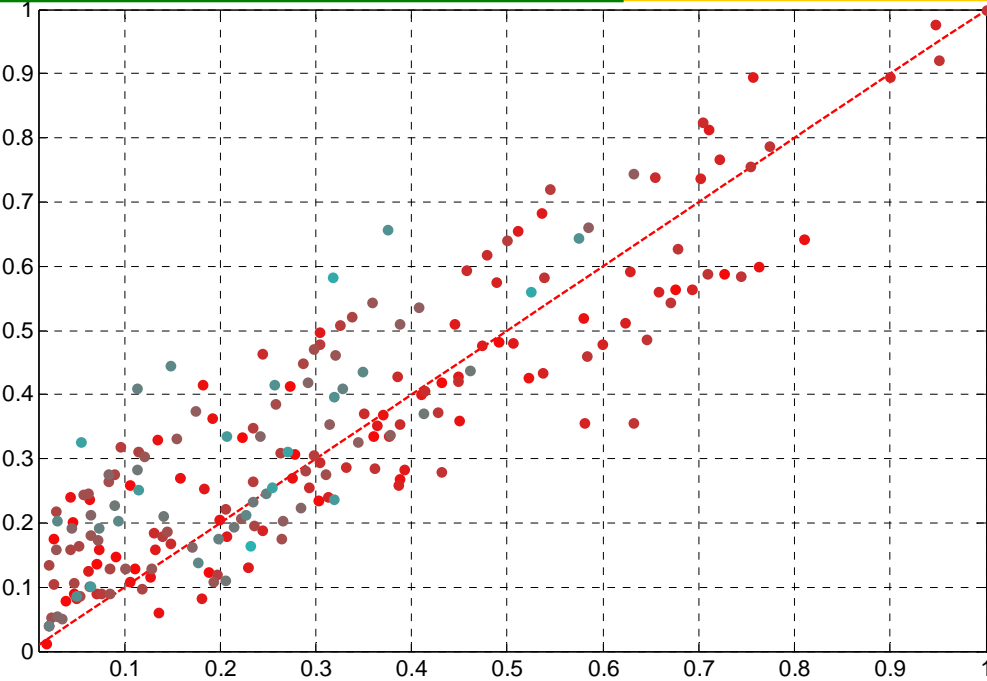
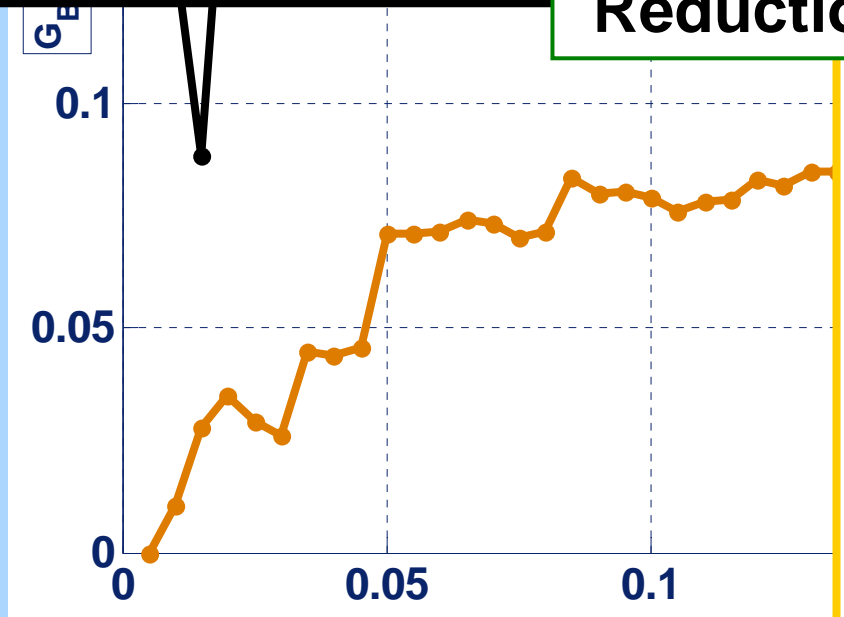
**AFTER Optimizing B**







**Reduction of local variance**



Entire catchment's response forms the criteria for selecting neighbors

$$Q = f(X_{k=1,K} / V_{i,i=1,I})$$

A partial catchments' or a component response, eg.  $P_{\text{eff}}$  or  $ET_A$

$$PE_A = f(X_{k=1,K} / V_{i,i=1,I})$$

A model parameter

$$DD = f(X_{\text{LANDUSE}})$$

$$\alpha = f(X_{\text{LANDUSE}} / X_{\text{SOIL}})$$

- To improve the robustness of the **B**, more catchments with a variety of characteristics should be used to identify the **B**.
- Validation will be carried out in the near future to further verify the efficacy and transferability of the proposed methodology.
- Uncertainties based on the proposed method shall also be quantified

**We come nearest to the  
great when we are  
great in humility**

- Tagore, Rabindranath